

FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE <b>TRANSMITTAL LETTER TO THE UNITED STATES</b>		ATTORNEY DOCKET NUMBER <b>PAT-01033</b>
DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. IF KNOWN (SEE 37 CFR 15) <div style="font-size: 1.5em; font-weight: bold; text-align: center;">107512034</div>
INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED
<b>PCT/EP2003/004921</b>	<b>(12.05.2003) 12. May 2003</b>	<b>(28.05.2002) 28. May 2002</b>
TITLE OF INVENTION: <b>(Co)polymers and Method for the Radical (Co)polymerisation of Olefinically Unsaturated Monomers</b>		
APPLICATION(S) FOR DO/EO/US: <b>Heinz-Peter RINK, Hartmut KAGERER and Hans-Ulrich MORITZ</b>		
<p>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) The submission must include Items (5), (6), (9) and (21) indicated below.</li> <li>4. <input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))             <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are transmitted herewith (required only if not transmittal by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> have been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371( c )(2)).             <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> Is attached hereto.</li> <li>b. <input type="checkbox"/> Has been previously submitted under 35 U.S.C 154(d)(4).</li> </ol> </li> <li>7. <input checked="" type="checkbox"/> Amendment to the claims of the International Application under PCT Article 19 (35 U.S.C.37 ( c )(3))             <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li>10. <input type="checkbox"/> An English language translation of the annex to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C 371( c )(5)).</li> </ol> <p>Items 11. to 20. below concern document(s) or information included:</p> <ol style="list-style-type: none"> <li>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>13. <input checked="" type="checkbox"/> A FIRST preliminary amendment.</li> <li>14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</li> <li>15. <input type="checkbox"/> A substitute specification.</li> <li>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 – 1.825.</li> <li>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</li> <li>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</li> <li>20. <input checked="" type="checkbox"/> Other items or information: <b>A copies of the cover sheet from the PCT Published Application, and the cover sheet from the Priority Document</b></li> </ol>		
<p>I hereby certify that the attached correspondence is being deposited with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee" Mailing Label No. <b>ER193000649US</b> addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on October 20, 2004.</p> <div style="text-align: center; margin-top: 10px;"> <div style="border-top: 1px solid black; width: 100px; margin: 0 auto;"></div> <p>Marjorie Ellis</p> </div>		

U.S. APPLICATION NO. (If known, use 37 CFR 1.50) <b>10/512034</b>		INTERNATIONAL APPLICATION NO. <b>PCT/EP2003/004921</b>		ATTORNEY'S DOCKET NUMBER <b>PAT-01033</b>					
21 <input checked="" type="checkbox"/> The following fees are submitted: <b>Basic National Fee (37 CFR 1.492(a)(1)-(5)):</b> Neither international preliminary examination fee (37 CFR 1.482) Nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO..... \$1,110.00  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO..... \$ 950.00  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.44(a)(2)) paid to USPTO..... \$ 790.00  International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)..... \$ 750.00  International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$ 100.00  <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="text-align: left; padding: 2px;">CALCULATIONS</th> <th style="text-align: left; padding: 2px;">PTO USE ONLY</th> </tr> <tr><td style="height: 100px;"></td><td></td></tr> </table>		CALCULATIONS	PTO USE ONLY		
CALCULATIONS	PTO USE ONLY								
Surcharge of \$130.00 for furnishing the oath or declaration later <input type="checkbox"/> 20 <input type="checkbox"/> 30 than months from the earliest claimed priority date (37 CFR 1.492(e)).				<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;"></td> <td style="width: 50%; text-align: center;">\$</td> </tr> </table>			\$		
	\$								
Claims	Number Filed	Number Extra	Rate						
Total Claims	09 - 20 =	0	X \$ 18.00						
Independent claims	01 - 03 =	0	X \$ 88.00						
Multiple dependent claims(s) (if applicable)			+ \$300.00						
<b>TOTAL OF ABOVE CALCULATION =</b>				\$950.00					
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are				<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;"></td> <td style="width: 50%; text-align: center;">\$</td> </tr> </table>			\$		
	\$								
<b>SUBTOTAL =</b>				\$950.00					
Processing fee of \$130.00 for furnishing the English translation later <input type="checkbox"/> 20 <input type="checkbox"/> 30 the months from the earliest claimed priority date (37 CFR 1.492(f)).				<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;"></td> <td style="width: 50%; text-align: center;">\$</td> </tr> </table>			\$		
	\$								
<b>TOTAL NATIONAL FEE =</b>				\$950.00					
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;"></td> <td style="width: 50%; text-align: center;">\$</td> </tr> </table>			\$		
	\$								
<b>TOTAL FEES ENCLOSED =</b>				\$950.00					
				Amount to be refunded:	\$				
				Charged	\$950.00				
a. <input type="checkbox"/> A check in the amount of \$_____ to cover the above fees is enclosed. b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>02-1201</u> in the amount of <u>\$950.00</u> to cover the above fees A triplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>02-1201</u> . A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.									
<b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. <b>SEND ALL CORRESPONDENCE TO:</b> <table style="width: 100%;"> <tr> <td style="width: 50%; vertical-align: top;"> <b>BASE CORPORATION</b>  <b>Patent Department</b>  <b>26701 Telegraph Road</b>  <b>Southfield, Michigan 48034-2442</b>  <b>(248) 948-2355</b>  <b>Customer No. 26922</b> </td> <td style="width: 50%; vertical-align: top;"> <div style="text-align: center;">             10-20-04  <b>SIGNATURE</b>  <b>Michael F. Morgan</b>            Name  <b>42,906</b>            REGISTRATION NUMBER         </div> </td> </tr> </table>						<b>BASE CORPORATION</b> <b>Patent Department</b> <b>26701 Telegraph Road</b> <b>Southfield, Michigan 48034-2442</b> <b>(248) 948-2355</b> <b>Customer No. 26922</b>	<div style="text-align: center;">             10-20-04  <b>SIGNATURE</b>  <b>Michael F. Morgan</b>            Name  <b>42,906</b>            REGISTRATION NUMBER         </div>		
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